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JC927 U.S. PTO

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PTO/SB/05 (12/97)

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 015685.P068

Total Pages 2

First Named Inventor or Application Identifier Paul Petrus

Express Mail Label No. EL414998914US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 36)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 8)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))  
9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
10.  English Translation Document (if applicable)  
11.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
12.  Preliminary Amendment  
13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14.  a. Small Entity Statement(s)  
b. Statement filed in prior application, Status still proper and desired  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Other: separate sheet with title, express mail label, copy of postcard and attorney's signature

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. Correspondence Address

Customer Number or Bar Code Label      \_\_\_\_\_  
(Insert Customer No. or Attach Bar Code Label here)  
or

Correspondence Address Below

NAME James Y. Go  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026  
Country U.S.A. TELEPHONE (425) 827-8600 FAX (425) 827-5644

Express Mail Label: EL414998914US

**FEE TRANSMITTAL FOR FY 2000****TOTAL AMOUNT OF PAYMENT (\$)** 1,366.00**Complete if Known:**Application No. New ApplicationFiling Date HerewithFirst Named Inventor Paul Petrus

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 015685.P068**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
101	690	201	Utility application filing fee
106	310	206	Design application filing fee
107	480	207	Plant filing fee
108	690	208	Reissue filing fee
114	150	214	Provisional application filing fee

SUBTOTAL (1) \$ \_\_\_\_\_

**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	- 20** = 18	X 18.00	= 324.00
Independent Claims	- 3** = 4	X 78.00	= 312.00
Multiple Dependent			=

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee Code	Fee (\$)	
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim, if not paid
109	78	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 636.00**FEE CALCULATION (continued)**

12/29/99

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	380	216	190
117	870	217	435
118	1,360	218	680
128	1,850	228	925
119	300	219	150
120	300	220	150
121	260	221	130
138	1,510	138	1,510
140	110	240	55
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
			Petition to institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,210	241	605
			Petition to revive unintentionally abandoned application
142	1,210	242	605
143	430	243	215
144	580	244	290
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	760	246	380
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	380
			For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name:	James Y. Go
Signature	Date 9-22-00
Reg. Number	40,621 Deposit Account User ID _____ (complete if applicable)

Our Reference: 015685.P068

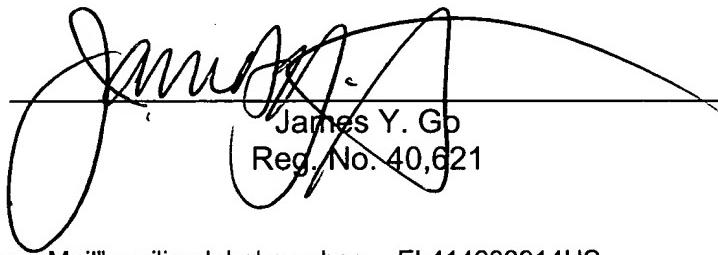
Patent

METHOD AND APPARATUS FOR DETERMINING AN OPERATING CONDITION IN A  
COMMUNICATIONS SYSTEM

Inventors: Paul Petrus

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

  
James Y. Go  
Reg. No. 40,621

JC917 U.S. PTO  
09/668664  
09/22/00



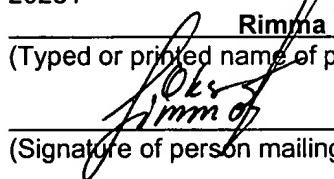
"Express Mail" mailing label number: EL414998914US

Date of Deposit: September 22, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Rimma N. Oks

(Typed or printed name of person mailing paper or fee)

 09-22-00

(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: <u>Not Yet Assigned</u>	Filing/Issue Date: <u>Herewith</u>
Client: <u>ArrayComm, Inc.</u>	
Title: <u>METHOD AND APPARATUS FOR DETERMINING AN OPERATING CONDITION IN A COMMUNICATIONS SYSTEMS</u>	
BSTZ File No.: <u>015685.P068</u>	Atty/Secy Initials: <u>JYG/rno</u>
Date Mailed: <u>September 22, 2000</u>	Docket Due Date:
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input type="checkbox"/> Amendment/Response ( <u>  </u> pgs.) <input checked="" type="checkbox"/> Express Mail No <u>EL414998914US</u> Check No <u>0000701</u>	
<input type="checkbox"/> Appeal Brief ( <u>  </u> pgs.) (in triplicate) <input type="checkbox"/> Month(s) Extension of Time      Amt: <u>\$1,366.00</u>	
<input checked="" type="checkbox"/> Application - Utility <u>36</u> pgs., with cover and abstract	
<input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Design ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - PCT ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Provisional ( <u>  </u> pgs.)	
<input checked="" type="checkbox"/> Assignment and Cover Sheet	
<input checked="" type="checkbox"/> Certificate of Mailing	
<input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.)	
<input type="checkbox"/> Disclosure Docs & Orig &	
Copy of Inventor's Signed Letter ( <u>  </u> pgs.)	
<input checked="" type="checkbox"/> Drawings: <u>8</u> # of sheets includes <u>8</u> figures	
<input checked="" type="checkbox"/> Disclosure Docs & Orig &	
Copy of Inventor's Signed Letter ( <u>  </u> pgs.)	
<input checked="" type="checkbox"/> Drawings: <u>8</u> # of sheets includes <u>8</u> figures	
<input checked="" type="checkbox"/> Other * copies of (4) cited references; * separate sheet with express mail label, copy of postcard and attorney's signature (1pg)	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate (2 pgs each)	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate (2 pgs each)	